



"Third Party Service Providers" includes but is not limited to, trustees, registrars, transfer agents, auditors and/or other professional service providers used in the provision of products and services to me/us and I/we hereby further consent to them collecting, receiving, using, disclosing and processing my/our Personal Data in their respective roles and capacities, where applicable.

12. By signing below, I/we represent and warrant that all personal information in my/our application form, account opening documents and/or otherwise provided by me/us is true and accurate, and that I/we have read and understood all of the above provisions, including the PDPS.

To: The Central Provident Fund Board (For CPF Investment Scheme-Special Account (CPFIS-SA) only)

I/We hereby irrevocably authorise the Board or its appointed agents to have access to and to request for any information regarding my/our account with the Managers for any purpose relating to and in connection with this application; in addition where applicable to:

1. Debit my/our CPF-SA the sum of monies specified by Lion Global Investors or the amount determined by the Board for the purchase/placement of the above fund/s, including any related fees, expenses and charges under the CPFIS-SA.
2. Credit my/our CPF-SA with any income or any proceeds from the liquidation or cancellation of the above fund/s under the CPFIS-SA that are received from Lion Global Investors.
3. Disclose any particulars or information whatsoever relating to or in connection with my/our investment with Lion Global Investors to facilitate any transaction that cannot be settled due to data discrepancies, insufficient funds or any other reasons that the Board deems fit.

I/We understand that the above transactions shall be made, subject to the provisions of the Central Provident Fund Act and the Central Provident Fund (Investment Schemes) Regulations as may be amended from time to time and also to all such terms and conditions as may be imposed by the Board from time to time.

I/We hereby agree to indemnify the Board and shall keep the Board indemnified against all actions, proceedings, liabilities, claims, damages, expenses or legal costs whatsoever arising out of or in connection with the Board accepting and acting upon this authorisation.

\_\_\_\_\_  
Applicant's Signature/Company Stamp/Date

\_\_\_\_\_  
Joint Applicant's Signature/Date

**FOR LION GLOBAL INVESTORS USE**

Transaction Date	Attended by
Price/Discount	Checked by

Remarks

Co. Reg. No. : 198601745D

A member of the OCBC Group

## General

- Information on each of the funds is contained in the Prospectus for the relevant fund and the relevant Trust Deed. The Trust Deed, Amending and Restating Deeds and Supplemental Deed(s) (if any) of the relevant fund may be purchased from Lion Global Investors Limited (“the Managers”) at S\$25 (including GST) per copy. Any further information or representation, which may be made by any other person, must be regarded as unauthorised and accordingly not relied upon.
- Joint holding is not permitted for applications using CPF monies/SRS monies. For joint accounts, tax vouchers will be in joint names but dividend cheques will be issued in the name of the joint holder whose name appears first in the register. Joint applicants may apply under 1 of 2 types of accounts:

(a) Joint-All account

Both applicants must sign the application form. The Managers and the Trustee will only act on a mandate given by both joint holders. The main applicant must be above 18 years old.

In relation to the above, where the joint applicant (a minor at the time of application) has attained 18 years old, he/she is required to contact the Managers to update his/her signature. The Managers shall not be liable for acting in accordance with the mandate of the original signatories where the joint applicant has failed to provide the said update.

(b) Joint-Alternate account

Both applicants must sign the application form for the initial purchase. Subsequently, the Managers and the Trustee will act on a mandate given by either of the joint holders in writing. Both applicants must be above 18 years old.

Please note that unless otherwise specified in the application form, the Managers and the Trustee shall be entitled to treat the account as being opened on a Joint-Alternate basis.

- The Managers must be notified in writing immediately of any change in personal data provided in this application.
- Processing of the transaction will only be carried out upon receipt of a duly completed application form and all necessary supporting documents.
- These terms and conditions may be subject to changes in accordance with the Trust Deed, Amending and Restating Deeds and/or any Supplemental Deed of the relevant fund.

## Purchase with Cash

- Please refer to the Prospectus of the relevant fund for the minimum initial purchase and the minimum subsequent purchase amounts. Application forms received by the Managers are irrevocable by the applicant.
- A purchase will be transacted at the Managers’ prevailing day’s NAV plus any preliminary charge or transaction charge if so applicable, on receipt of the duly completed application form before 3 pm on any dealing day. The Managers will only process duly completed application forms. The Managers shall be entitled in their sole and absolute discretion at any time after receipt of an application form to deem an incomplete application form to be an invalid application.
- Payment by internet banking, telegraphic transfer, crossed cheque, cashier’s order or bankers draft depending on the fund must be made payable in the following manner:

Payment Currency:	Make cheque payable to:	Account Number
SGD	LGI TRUST Acc – UT SUB SGD	141-448944-001
USD	LGI TRUST ACC - UT SUB USD	260-762844-178
EURO	LGI TRUST ACC - UT SUB EURO	260-762844-180
AUD	LGI TRUST ACC - UT SUB AUD	260-762844-179
JPY	LGI TRUST ACC - UT SUB JPY	260-762844-185

All bank charges and expenses, foreign exchange charges and all other charges and expenses incurred in respect of payment by internet banking, telegraphic transfer, crossed cheque, cashier’s order or banker’s draft shall be borne by the applicant.

## Terms & Conditions

- Applicants may submit this application form to the Managers either by post, or in person during opening hours to: 65 Chulia Street #18-01 S(049513).
- Applications by an individual must be accompanied by a copy of the applicant’s identification card, passport or such other identification as determined by the Managers.
- The Managers have the right to allot fewer units than the number applied for or to refuse any application without giving any reason.

## Purchase with Central Provident Fund (CPF) Monies

- Payment for applications made using this form can be made out of the applicant’s CPF Investment Account.
- For purchase of units with CPF monies, the applicant shall only be entitled to the units after the Managers have received for value such CPF monies equal to the value of the units purchased from the applicant’s CPF Agent Bank. Such purchases shall be deemed to have transacted in accordance with Paragraph 8 above.
- Applicants will receive notification of their holdings through a quarterly statement issued by their respective CPF Agent Banks.
- Where there are insufficient funds in the applicant’s CPF Investment Account, the Managers will deem such applications cancelled.
- The Managers reserve the right to recover from the applicant for any loss incurred by the Manager due to non-availability or insufficiency of funds in the applicant’s CPF Investment Account or other reasons for rejection by CPF or the CPF Agent Bank.

## Purchase with Supplementary Retirement Scheme (SRS) Monies

- Payment for applications made using this form can be made out of the applicant’s SRS Account.
- For purchase of units with SRS monies, applicants must have an existing SRS Account with a SRS Operator Bank to purchase units of the relevant fund using SRS monies.
- For purchase of units with SRS monies, the applicant shall only be entitled to the units after the Managers have received for value such SRS monies equal to the value of the units purchased from the applicant’s SRS Operator Bank. Such purchases shall be deemed to have transacted in accordance to Paragraph 8 above.
- Applicants will receive notification of their holdings through a quarterly statement issued by their respective SRS Operator Banks.
- Where there are insufficient funds in the applicant’s SRS Account, the Managers will deem such applications cancelled.
- The Managers reserve the right to recover from the applicant any loss incurred by the Manager due to non-availability or insufficiency of funds in the applicant’s SRS Account or other reasons for rejection by the SRS Operator.

## Dividends

- Applicants may elect to have their dividends reinvested in additional units of the fund. For reinvestments of dividends, units will be issued to the applicants at the Managers’ prevailing NAV on the date of distribution, i.e., no initial sales charge will be levied. A reinvestment mandate given by an applicant on the application form will remain effective until 30 days after receipt of written notice from the applicant to withdraw the reinvestment mandate.

## Redemption

- In the case of partial redemptions, a minimum holding must be maintained for each fund. Please refer to the Prospectus of the fund for details of the minimum holding for the relevant fund.
- A redemption of units will be transacted at the Managers’ prevailing day’s NAV per unit on receipt of the duly completed application form before 3 pm on any dealing day. The Managers will only process duly completed application forms. The Managers shall be entitled in its sole and absolute discretion at any time after receipt of an application form to deem an

incomplete application form to be an invalid redemption request.

26. Proceeds of the redemption will be paid by cheque or credited to the applicant's account with DBS/OCBC/POSB/UOB Bank within 4 business days for bond and money markets funds, 7 business days for feeder funds and 6 business days for other type of funds upon receipt of this application. Unless specifically directed by both joint holders, proceeds of redemption will be paid by cheque to the joint holder whose name appears first in the Register. Where an applicant of a non-Singapore Dollar denominated fund has requested the Managers to pay his sale or redemption proceeds in Singapore Dollars, the Managers shall convert such proceeds from the base currency of the fund into Singapore Dollars at such rates of exchange as may be determined by the Managers as applicable. All bank charges and expenses, foreign exchange charges and all other charges and expenses incurred in respect of a sale or redemption of units and the conversion of sale or redemption proceeds from a foreign currency to Singapore Dollars shall be borne by the applicant.
27. Where an applicant has paid for units with monies from his CPF Investment Account, the proceeds of redemption of units will be paid to the applicant's CPF Agent Bank normally within the time frames stated in Paragraph 26 above with receipt of a duly completed application form. All bank charges and any expenses, foreign exchange charges and all other charges and expenses incurred in respect of sale or redemption of units shall be borne by the applicant. Where an applicant has paid for units using his CPF-SA, the proceeds of redemption of units will be credited to the applicant's CPF- SA.
28. Where an applicant has paid for units with monies from his SRS Account, a crossed cheque made payable to the applicant's SRS Operator Bank representing the proceeds of realisation will normally be dispatched by ordinary post within the time frames stated in Paragraph 26 above from the date of receipt by the Managers of the duly completed application form. All bank charges and expenses, foreign exchange charges and all other charges and expenses incurred in respect of sale or redemption of units shall be borne by the applicant.

#### **Transfer of Holdings**

29. No transfer is permitted in respect of units purchased by an applicant with monies from his CPF Account or SRS Account (as the case may be) unless permitted by the relevant authority.
30. Applicants who transfer all or any of their units to persons other than the Managers are required to complete a separate transfer form, a copy of which is available from the Managers.
31. Charges may be imposed by the Managers each time a transfer is made and such charges, where applicable, will be borne by the applicants.

#### **Tax Residence Status**

32. Applicants should note that under the Singapore Income Tax Act, a "Singapore tax resident", in relation to an individual, is a person who in the year preceding the year of assessment, resides in Singapore except for such temporary absences therefrom as may be reasonable and not inconsistent with a claim by such person to be resident in Singapore, and includes a person who is physically present or exercises an employment (other than as a director of a company) in Singapore for 183 days or more during the year preceding the year of assessment.
33. Applicants should also note that "foreign investor" has been defined under the Income Tax (Income from Funds Managed for Foreign Investors) Regulations 2003, in relation to an individual, as an individual who is neither a citizen of Singapore nor a resident in Singapore and who is the beneficial owner of the funds managed by any fund manager in Singapore.
34. Applicants who have any doubt as to whether they are deemed as a Singapore tax resident or a "foreign investor" are strongly urged to consult their professional tax advisors.
35. Units in the fund may not be sold to, or held for the account or benefit of U.S. Persons.
36. Applicants must inform the Managers immediately in the event of any change in their tax residence status.

#### **Fax Authority/Indemnity**

37. By giving this fax authorisation, the applicant authorises the Managers to act upon instructions received by fax. This fax authority remains in force until notice in writing of its termination is received by the Managers and shall apply to all dealings and transactions between the Managers and the applicant in relation to all funds invested by the applicant. The Managers are entitled in their absolute discretion to refuse to comply with any fax instruction which is in their opinion unclear or ambiguous. The applicant undertakes to keep the Managers and their respective directors, officers, employees and agents from time to time indemnified against all claims, losses damages, charges, costs and for expenses suffered or incurred by any of them arising out of anything done or omitted pursuant to any instructions given by fax. The applicant also agrees to send the original application form to the Managers. For redemption forms submitted by fax, the Managers will only pay out the redemption proceeds upon receipt of the original redemption form. The fax authority does not apply to notifications of change of name, death or transfers and the use of powers of attorney where original documentation must be sent to the Managers.

## Addendum

### Onboarding

- 1a. I/We consent to your collection, use and storage of any of my/our Personal Information and Account Information by any means necessary for you to maintain appropriate transaction or account records and for disclosure and compliance with FATCA and/or any applicable local or foreign law, regulation, rules, directives or code of practice, and whether or not relating to an intergovernmental agreement entered into pursuant to FATCA or such other agreement between regulatory authorities of 2 or more jurisdictions.
- 1b. I/We agree to provide Personal Information to you in such form and within such time as you may require from time to time.
- 1c. I/We agree to update you promptly (and in any event no later than thirty (30) days from the date of change or addition) when there is a change or addition to the Personal Information.
- 1d. I/We acknowledge and agree that I/we are responsible for my/our own compliance with FATCA.

### Withholding

- 2a. I/We acknowledge that you may be required to withhold payment of part of any U.S. sourced income and gross proceeds as may be required by FATCA, and/or any applicable local or foreign law, regulation, rules, directives or code of practice, and whether or not relating to an intergovernmental agreement entered into pursuant to FATCA or such other agreement between regulatory authorities of 2 or more jurisdictions.
- 2b. I/We agree to indemnify you and the fund and its other investors for any losses resulting from my/our failure to meet my/our obligations under these FATCA provisions, including any withholding tax imposed on the fund.

### Disclosure

- 3a. I/We acknowledge and agree that the Personal Information and Account Information provided may be disclosed during this Agreement and after its expiry or termination (as the case may be) by you to counterparties, brokers, other service providers, the U.S. Internal Revenue Service, the Inland Revenue of Singapore or other applicable tax or other regulatory authorities in any jurisdiction for the purpose of compliance with FATCA and/or any applicable local or foreign law, regulation, rules, directives or code of practice, and whether or not relating to an intergovernmental agreement entered into pursuant to FATCA or such other agreement between regulatory authorities of 2 or more jurisdictions.
- 3b. I/We hereby irrevocably waive and agree to procure any Consenting Person to waive irrevocably (where reasonably required by you), any applicable restrictions, provision of law and rights in law that would, absent a waiver, prevent disclosure by you of the Personal Information and Account Information pursuant to the provisions of this Agreement.

### Deduct/With-hold

- 4a. I/We agree that if I/we fail to provide or to update you promptly with the Personal Information, or provide to you inaccurate, incomplete, false Personal Information, or for whatever reason, you are prevented (under Singapore law or otherwise) from disclosing the Personal Information for the purpose of compliance with FATCA and/or any applicable local or foreign law, regulation, rules, directives or code of practice, and whether or not relating to an intergovernmental agreement entered into pursuant to FATCA or such other agreement between regulatory authorities of 2 or more jurisdictions, you may deduct from or with-hold part of any amounts payable under this Agreement.

## DEFINITIONS

“Account Information” means any information relating to your account with us and this Agreement, including the account number (if any), account balance or value, gross receipts, withdrawals and payments from the account or under this Agreement.

“Consenting Person” means any person other than you who is beneficially interested or financially interested in any payment made under this Agreement.

“Personal Information” means information relating to you and any Consenting Person, and (i) where you and any Consenting Person is an individual, the full name, date and place of birth, residential address, mailing address, contact information (including telephone number) and any identification number, social security number, citizenship(s), residency(ies), tax residency(s) (ii) where you and any Consenting Person is a corporate or other entity, its date of incorporation or formation, registered address, address of place of business, tax identification number, tax status, tax residency, and such information as we may reasonably require regarding each of its substantial shareholders and controlling persons.

“U.S. holder” means a beneficial owner of Units who is for U.S. federal income tax purposes (i) an individual citizen or resident of the United States, (ii) a corporation created or organized under the laws of the United States of any State thereof, or the District of Columbia, (iii) an entity created or organized under the laws of any other jurisdiction if treated as a domestic corporation, (iv) an estate the income of which is subject to U.S. federal income tax regardless of source, and (v) a trust if (a) (1) a court within the U.S. is able to exercise primary supervision over the administration of the trust and (2) one or more U.S. persons (as defined for U.S. federal income tax purposes) have the authority to control all substantial decisions of the trust or (b) it has a valid election in effect under applicable Treasury regulations to be treated as a U.S. person for U.S. federal income tax purposes